



TREC Holder Pakistan Stock Exchange Limited  
Broker Registration No. BRL-159

## Undertaking of Foreign Account Tax Compliance Act (FATCA)

	Account Holder	Joint Account Holder 1	Joint Account Holder 2	Joint Account Holder 3
Name:				
Country of Permanent Residence:				
Country of Birth:				
Nationality:				
Date of Submission:				

  

Please confirm the following:	Yes		No		Yes		No		Yes		No	
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No		
Do you hold multiple nationalities (if yes, please indicate)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Are you a Resident of any country other than Pakistan (If yes, please specify)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Do you have any tax obligation in a country other than Pakistan (Note: If YES then please specify the list of countries along with its respective Tax Number, Social Security Number or local equivalent.)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

I/We hereby ☐ I 'confirm the information provided above is true, accurate and complete.

I/We hereby provide my/our consent to Integrated Equities Limited or any of its associates/affiliates including branches (collectively the Broker) to disclose and furnish and share information pertaining to my/our Account to domestic or overseas regulators or tax authorities where necessary to establish our tax liability in any jurisdiction.

I/We also authorize the Broker to deduct withholding tax from my/our Account when required to do so by domestic or overseas regulators or tax authorities or pay out, from my/our Account(s) such amounts as may be required according to applicable laws, rules, regulations, agreements with regulators or authorities and directives (both local and international).

I/We shall indemnify and hold the Broker harmless against any claim, damages, costs, expenses and other direct and/or indirect consequences of the Broker disclosing, furnishing and sharing any information pertaining to my/our trading account with any domestic or overseas regulators or tax authorities.

I/We agree and undertake to notify the Broker within thirty (30) calendar days if there is a change in any information which we have provided to the Broker.

I/We undertake to provide the Broker with any undertakings and/or declarations including signing all forms, within the specified time-frame, which in the opinion of the Broker are necessary and appropriate for the purposes given above. In case we cease or fail to comply with the Brokers requirement or fail for whatsoever reason to provide any necessary undertaking or declaration or fail to sign any required forms as set out above the Broker shall have the right at its own discretion to unilaterally close the Account and terminate the working relations with me/us.

**Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)**

Form **W-8BEN**  
Department of the  
Treasury

- ▶ For use by individuals. Entities must use Form W-8BEN-E. (Rev. February 2014)
- ▶ Information about Form W-8BEN and its separate instructions is at [www.irs.gov/formw8ben](http://www.irs.gov/formw8ben).
- ▶ Give this form to the withholding agent or payer. Do not send to the IRS.

Form **W-8BEN**  
Department of  
the Treasury

**Do NOT use this form if:**

- You are NOT an individual \_\_\_\_\_ W-8BEN-E
- You are a U.S. citizen or other U.S. person, including a resident alien individual \_\_\_\_\_ W-9
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the U.S. (other than personal services) \_\_\_\_\_ W-8ECI
- You are a beneficial owner who is receiving compensation for personal services performed in the United States 8233 or W-4 \_\_\_\_\_
- A person acting as an intermediary \_\_\_\_\_ W-8IMY

**Instead, use Form:**

**Identification of Beneficial Owner (see instructions)**

1. Name of individual who is the beneficial owner:	2. Country of citizenship:
3. Permanent residence address (street, apt. or suite no., or rural route) Do not use a P.O. Box or in-care-of address:	
City or town, state or province. Include postal code where appropriate:	Country:
4. Mailing address (if different from above):	
City or town, state or province. Include postal code where appropriate:	Country:
5. U.S. taxpayer identification number (SSN or ITIN), if required (see instructions):	6. Foreign tax identifying number (see instructions):
7. Reference number(s) (see instructions):	8. Date of birth (MM-DD-YYYY) (see instructions):

**Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)**

9. I certify that the beneficial owner is a resident \_\_\_\_\_ of within the meaning of the income tax treaty between the United States and that country.

**10. Special rates and conditions** - (if applicable see instructions): The beneficial owner is claiming of the treaty identified on line 9 above to claim a the provisions of Article \_\_\_\_\_ % rate of withholding tax on (specify type of income): \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Explain the reasons the beneficial owner meets the terms of the treaty article:

**Certification**

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner or account holder of a foreign financial institution;

The person named on line 1 of this form is not a U.S. person;

The income to which this form relates is:

- (a) not effectively connected with the conduct of a trade or business in the United States;
- (b) effectively connected but is not subject to tax under an applicable income tax treaty; or
- (c) the partners share of a partnership's effectively connected income

The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and

For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. **I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.**

**Sign Here**

Signature of beneficial owner (or individual authorized to sign for beneficial owner)

Date (MM-DD-YYYY)

Print name of signer \_\_\_\_\_ Capacity in which acting (if form is not signed by the beneficial owner) \_\_\_\_\_